

09/27/01

J1059 U.S. PTO

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**UTILITY PATENT  
APPLICATION TRANSMITTAL**(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No.

011153

Total Pages

First Named Inventor or Application Identifier

**Kiyohito YOSHIHARA and Hiroki HORIUCHI**

Express Mail Label No.

Check Box, if applicable [ ] Duplicate

**APPLICATION ELEMENTS FOR:  
MANAGEMENT DEVICE AND MANAGED DEVICE  
IN POLICY BASED MANAGEMENT SYSTEM**

ADDRESS TO:

Commissioner for Patents  
BOX PATENT APPLICATIONS  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (Incorporated within this form)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [28]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [6]
4. ☒ Oath or Declaration Total Pages [2]
- a. ☒ Newly executed (original)
- b. ☐ Copy from prior application (37 CFR 1.63(d)  
(for continuation/divisional with Box 17 completed).
- i. ☐ Deletion of Inventor(s)  
Signed statement attached deleting inventor(s) named in prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under  
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement Verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. ☒ Assignment Papers (cover sheet and document(s)) to: **KDDI Corporation, Tokyo, Japan**  
**Please publish the assignee data with the application.**

9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

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10. ☐ English translation Document (if applicable)
11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
14. ☐ Small Entity Status is claimed.
15. ☒ Claim for Convention Priority ☒ [1] Certified copy of Priority Document is enclosed.
- a. Priority of Japanese application No. 2000-300817 filed on September 29, 2000 is claimed under 35 USC 119.
16. ☐ Other \_\_\_\_\_
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_/\_\_\_\_

**FEE TRANSMITTAL**

Number Filed

Number Extra

Rate

Basic . .  
Fee  
\$710.00

The filing fee is calculated below

Total Claims

11 - 20

x \$18.00

Independent Claims

2 - 3

x \$80.00

Multiple Dependent Claims

\$270.00

Basic Filing Fee

\$710.00

Reduction by 1/2 for small entity

Fee for recording enclosed Assignment

\$40.00

\$40.00

**TOTAL**

**\$750.00**

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[XX] A check in the amount of \$750.00 is enclosed to cover the filing fee of \$710.00 and the assignment recordation fee of \$40.00.

[ ] Please charge our Deposit Account No. 01-2340 in the total amount of \_\_\_\_\_ to cover the filing fee and the \_\_\_\_\_ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name William G. Kratz, Jr.

Reg. No. 22,631

Signature

Date: September 27, 2001